

CERTIFICATE OF HAND DELIVERY

I hereby certify that this correspondence is being hand filed with the United States Patent and Trademark Office in Washington, D.C. on January 16, 2001.

*Renell G. Feimster*

Renell G. Feimster

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

Tracy D. WILKINS et al.

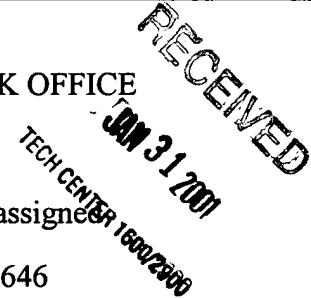
Serial No.: 09/545,772

Filing Date: April 10, 2000

For: RECOMBINANT TOXIN A PROTEIN  
CARRIER FOR POLYSACCHARIDE  
CONJUGATE VACCINES

Examiner: To be assigned

Group Art Unit: 1646



TRANSMITTAL LETTER FOR MISSING PARTS OF APPLICATION

Box Missing Parts  
Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

In complete response to the Notice to File Missing Parts of Application Under 37 C.F.R. § 1.53(f) dated June 14, 2000, attached please find:

- ☒ A combined Declaration and Power of Attorney signed by the inventor and the surcharge of \$130.00 as set forth in 37 C.F.R. § 1.16(e).
- ☒ Statement To Support Filing And Submission In Accordance with 37 C.F.R. §§ 1.821-1.825.
- ☒ A Petition for Extension of Time (\$1,890.00).
- ☒ Other: Check in the amount of \$3,818.00 (Filing Fee - \$710.00; Excess Total Claims - \$738.00; Excess Independent Claims - \$80.00; Multiple Claim Fee - \$270.00; Declaration Surcharge - \$130.00; Five Month Extension Fee - \$1,890.00).

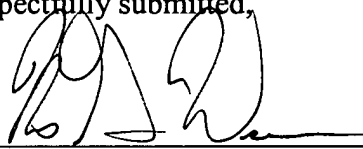
The filing fee has been calculated as follows:

FOR	NUMBER FILED	NUMBER EXTRA	RATE	CALCULATIONS
TOTAL CLAIMS	61 - 20 =	41	x \$18.00	\$738.00
INDEPENDENT CLAIMS	4 - 3 =	1	x \$80.00	\$80.00
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$270.00	\$270.00
			BASIC FEE	\$710.00
TOTAL OF ABOVE CALCULATIONS =				\$1,798.00
Reduction by 1/2 for filing by small entity (Note 37 C.F.R. §§ 1.9, 1.27, 1.28). If applicable, verified statement must be attached.				\$0.00
PETITION FOR EXTENSION OF TIME				\$1,890.00
SURCHARGE FOR FILING MISSING PARTS				\$130.00
			TOTAL =	\$3,818.00

☒ A check in the amount of \$3,818.00 is attached.

The Commissioner is hereby authorized to charge any additional fees under 37 C.F.R. §§ 1.16 and 1.17 that may be required by this transmittal and associated documents, or to credit any overpayment to Deposit Account No. 03-1952. A duplicate copy of this transmittal is enclosed for that purpose.

Respectfully submitted,



Dated: January 16, 2001

By: Thomas G. Wiseman  
Registration No. 35,046

Morrison & Foerster LLP  
2000 Pennsylvania Avenue, N.W.  
Washington, D.C. 20006-1888  
Telephone: (202) 887-1678  
Facsimile: (202) 887-0763

1M/11/00 TDM  
6/16/2000  
12 6/17/00

# FORMALITIES LETTER



\*OC000000005175997\*



## UNITED STATES DEPARTMENT OF COMMERCE Patent and Trademark Office

Address: COMMISSIONER OF PATENT AND TRADEMARKS  
Washington, D.C. 20231

RECEIVED  
JUN 18 2001  
TECH CENTER 1000

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCUMENT NUMBER
09/545,772	04/10/2000	Tracy D. Wilkins	420522000100

Thomas D Mays PhD JD  
Morrison & Foerster LLP  
2000 Pennsylvania Avenue NW  
Washington, DC 20006-1888



Date Mailed: 06/14/2000

### NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

#### Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$1922.
  - \$1584 for 88 total claims over 20.
  - \$78 for 1 independent claims over 3.
  - \$260 for multiple dependent claim surcharge.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 CFR 1.821 - 1.825 for the following reason(s):
  - This application does not contain, as a separate part of the disclosure on paper copy, a "Sequence Listing" as required by 37 CFR 1.821(c).
  - A copy of the "Sequence Listing" in computer readable form has not been submitted as required under 37 CFR 1.821(e).

For questions regarding compliance to these requirements, please contact:

- |  |                   |                   |
|--|-------------------|-------------------|
| ■ For Rules Interpretation, call (703) 308-1123        | 01/18/2001 HNOOR1 | 00000022 09545772 |
| ■ For CRF Submission Help, call (703) 308-4212         | 01 FC:101         | 710.00 OP         |
| ■ PatentIn Software Program Support                    | 02 FC:102         | 80.00 OP          |
| ■ - For Technical Assistance, call (703) 287-0200      | 03 FC:103         | 738.00 OP         |
| ■ - To Purchase PatentIn Software, call (703) 306-2600 | 04 FC:105         | 138.00 OP         |
|  | 05 FC:104         | 276.00 OP         |

- The balance due by applicant is \$ 2742.

DOCKETED

RS-mp June 8/14/00  
LD 1/14/01

*A copy of this notice **MUST** be returned with the reply.*



Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



RECEIVED  
JAN 31 2001  
TECH CENTER 1600/2900

W/P GR 1646  
PTO/SB/17 (09-00)  
Approved for use through 10/31/2002. OMB 0651-0032  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# FEE TRANSMITTAL FOR FY 2001

RECEIVED  
JAN 23 2001

PTO  
JAN 16 2001  
PATENT & TRADEMARK OFFICE

Patent fees are subject to annual revision.

## Complete if Known

Application Number 09/545,772  
Filing Date April 10, 2000  
First Named Inventor Tracy D. WILKINS et al.  
Examiner Name To be assigned  
Group Art Unit 1646  
Attorney Docket No. 420522000100

TECH CENTER 1600/2900 TOTAL AMOUNT OF PAYMENT

(\$3,818.00

### METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number 03-1952  
Deposit Account Name Morrison & Foerster LLP

- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17  
☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☒ Payment Enclosed:

☒ Check ☐ Credit Card ☐ Money Order ☐ Other

### FEE CALCULATION

#### 1. BASIC FILING FEE

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
101	710	201	355	Utility filing fee	710.00
106	320	206	160	Design filing fee	
107	490	207	245	Plant filing fee	
108	710	208	355	Reissue filing fee	
114	150	214	75	Provisional filing fee	

SUBTOTAL (1) (\$710.00

#### 2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
61-20**	41	x 18.00	= \$738.00
Independent Claims	4-3**	1 x 80.00	= \$80.00
Multiple Dependent		x	= \$270.00

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
103	18	203	9	Claims in excess of 20	
102	80	202	40	Independent claims in excess of 3	
104	270	204	135	Multiple dependent claims, if not paid	
109	80	209	40	**Reissue independent claims over original patent	
110	18	210	9	**Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2) (\$1,088.00

\*\* or number previously paid, if greater; For reissues, see above.

### FEE CALCULATION (continued)

#### 3. ADDITIONAL FEES

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	130.00
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for ex parte reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	390	216	195	Extension for reply within second month	
117	890	217	445	Extension for reply within third month	
118	1,390	218	695	Extension for reply within fourth month	
128	1,890	228	945	Extension for reply within fifth month	1,890.00
119	310	219	155	Notice of Appeal	
120	310	220	155	Filing a brief in support of an appeal	
121	270	221	135	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,240	241	620	Petition to revive - unintentional	
142	1,240	242	620	Utility issue fee (or reissue)	
143	440	243	220	Design issue fee	
144	600	244	300	Plant issue fee	
122	130	122	130	Petitions of the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	240	126	240	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per properties (times number of properties)	
146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	710	249	355	For each additional invention to be examined (37 CFR § 1.129(b))	
179	710	279	355	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$2,020.00

### SUBMITTED BY

Complete (if applicable)

Name (Print/Type)	Thomas G. Wiseman	Registration No. (Attorney/Agent)	35,046	Telephone	202-887-1678
Signature				Date	January 16, 2001

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.